YAO-3750US1 PATENT

ppln. No:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

09/922,978

Applicant:

Kazuhisa Yamamoto, et al.

Filed:

August 6, 2001

Title:

OPTICAL DEVICE, LASER BEAM SOURCE, LASER APPARATUS AND METHOD

OF PRODUCING OPTICAL DEVICE

TC/A.U.:

2881

Examiner:

Leon Scott, Jr.

Conf. No.:

1750

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (R&P) submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Reference JP 6-18823 is not in the English language, but an English translation thereof is attached to the copy of the reference submitted herewith.

This Information Disclosure Statement is being filed within three months of the filing date of the above-referenced application or before mailing of the first Official Action. No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,

Daniel N. Calder (Reg. No. 27,424)

Attorney for Applicants

DNC/vj

Enclosures: PTO Form 1449

(4) References

Chinese Office Action dated July 10, 2003

Transmittal Form

Dated: August 27, 2003

P.O. Box 980 Valley Forge, PA 19482 (610) 407-0700

P.O. Box 1596
Wilmington, DE 19899
(302) 778-2500

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

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SHEET 1 of 2

Substitute for Form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

	Complete if Known	
Application Number	09/922,978	
Filing Date	August 6, 2001	
First Named Inventor	Kazuhisa Yamamoto, et al.	
Art Unit	2828	
Examiner Name	Leon Scott, Jr.	
Attorney Docket No.	YAO-3750US1	

	U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number Number - Kind Code ^{2 (If known)}	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear	
		US-4,665,529	05-12-1987	Baer, et al.		
		US-5,036,220	07-30-1991	Byer, et al.		
		US-5,303,247	04-12-1994	Yamamoto, et al.		
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FOREIGN PATENT DOCUMENTS						
F	<u> </u>	Foreign Patent Document		Name of Patentee or	Pages, Columns, Lines, Where Relevant	
Examiner Initials*	Cite No.1	Country Code ³ - Number ⁴ - Kind Code ⁵ (If known)	Publication Date (MM-DD-YYYY)	Applicant of Cited Document	Passages or Relevant Figures Appear	T6
		JP 6-18823 U	03-11-1994			Ø
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Examiner Signature		······································		Date Considered		

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

Applicant's unique citation designation number (optional).

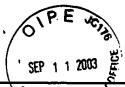
See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

SKind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Substitute for Form 1449B/PTO

SHEET 2 of 2

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

	Complete if Known	
Application Number	09/922,978	
Filing Date	August 6, 2001	
First Named Inventor	Kazuhisa Yamamoto, et al.	
Art Unit	2828	
Examiner Name	Leon Scott, Jr.	
Attorney Docket No.	YAO-3750US1	

NON-PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Clte No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T²			
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Applicant's unique citation designation number (optional).

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PTO/SB/21 (05-03) (AW 07-03)
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Total Number of Pages in This Submission 79

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	Application Number	09/922,978	
	Filing Date	August 6, 2001	
	First Named Inventor	K. Yamamoto, et al.	-
	Art Unit	2828	
	Examiner Name	Leon Scott, Jr.	-
	Attorney Docket No.	YAO-3750US1	

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ENCLOSURES (Check all that apply)							
Fee Transmittal Form Fee Attached	☐ Dra	wing(s)		_	After Allowance Communication o Group		
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Firm or Individual Daniel N. Calder Registration No. (Attorney/Agent) 27,424							
Signature Daniel N. Corder							
Date August 27, 2003							
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VA 22313-1450 on this date:							
Name (Print/Type) Vickie Jones							
Signature Date August 27, 2003							

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